Specifications of the Fee File for Düsseldorf, Hamburg and Hannover Stock Exchanges (Credit Institutions and Financial Service Providers)

1. Overview

This document describes the structure and contents of the fee files generated and provided on a daily and monthly basis. They contain, per trading venue (Düsseldorf Stock Exchange – EDD, Hamburg Stock Exchange – EDH and Hannover Stock Exchange – EDI)) and participants (CBF number), all exchange-traded (BS) and over-the-counter (OTC) transactions for the current period.

2. Provision Times

- **Daily**
  - Includes all transactions of the trading day
  - Available on the following trading day
- **Monthly**
  - Includes all transactions of the previous month
  - Available on the first trading day of the following month

3. Reports

- **One** Report **for**
  - exchange-traded transactions (BS)
  - over-the-counter transactions (OTC)

4. Naming Convention

  - Prefix = (PROD/SIMU)
  - BOE = trading venue (EDD/EDH/EDI)
  - KVN = participant's CBF number
  - BS/OTC = transaction type
  - Date in JJJJMMTT/ Month in JJJJMM
- **Daily**
  - Filename: Prefix_BOE_KVN_Entgelt_{BS|OTC}_YYYYMMDD.csv
  - Example: PROD_EDD_9999_Entgelt_BS_20250630.csv
- **Monthly**
  - Filename: Prefix_BOE_KVN_Entgelt_{BS|OTC}_YYYYMM.csv
  - Example: SIMU_EDH_9999_Entgelt_OTC_202506.csv

5. File Format

- **File type:** CSV
- **Encoding:** UTF-8
- **Separator:** semicolon (;)
- **Decimal separator:** comma (,)

- **Header:** first line with the filed names

6. Separate data records for the transaction fee and the broker fee

In the transaction report for on-exchange trades, one data record for the transaction fee and one data record for the broker fee are reported for each order.
In the data records for the transaction fee the fields TRANSAKTIONSENTGELT (15), BERECHNUNGSMODELL (17), WP_GRUPPE (18) are filled and the fields HANDELSENTGELT (16), COURTAGE_KEY (19) are empty.
In the data records for the broker fee the fields HANDELSENTGELT (16), COURTAGE_KEY (19) are filled and the fields TRANSAKTIONSENTGELT (15), BERECHNUNGSMODELL (17), WP_GRUPPE (18) are empty.

7. Negativer Entgeltausweis bei Stornierungen

For transaction cancellations, in addition to the indicator in the GESCHAEFT_STORNO (06) field, the amount is shown as a negative value in the TRANSAKTIONSENTGELT (15) or HANDELSENTGELT (16) field.

6. Field Specification

NR	FIELD/HEADER	DESCRIPTION	FORMAT	RESTRICTION
01	EINGABE_DATUM	Entry date (for back-dating if applicable)	ALPHANUM(8) JJJJMMTT	e.g. „20502103“
02	GESCHAEFT_DATUM	Trade date of the transaction	ALPHANUM(8) JJJJMMTT	e.g. „20250102“
03	GESCHAEFT_ZEIT	Time of the transaction (CET/CEST)	ALPHANUM(9) HHMMSSmmm	e.g. „080313124“
04	GESCHAEFT_NR	Order number (BS) or trade number (OTC)	ALPHANUM(20)	e.g. „DR0023838“
05	GESCHAEFT_ART	Side of trade (1 = Buy, 2 = Sell)	NUMBER(1,0)	„1“ or „2“
06	GESCHAEFT_STORNO	Cancellation indicator	ALPHANUM(1)	„J“=cancelled or blank=not cancelled
07	GESCHAEFT_KUMULIERT	Same-day split aggregation indicator	ALPHANUM(1)	„J“=yes or blank=no
08	CBF_NR	Participant CBF number	ALPHANUM(4)	e.g. „9999“
09	CBF_NR_ART	Participant type (1 = Bank, 2 = Broker)	NUMBER(1,0)	„1“ or „2“
10	CBF_NR_BOERSE	Trading venue code	ALPHANUM(3)	„EDD“, „EDH“ or „EDI“
11	ISIN	ISO 6166 instrument identifier	ALPHANUM(12)	e.g. „DE0005810050“
12	KURS	Execution price in EUR / % (average for partial executions aggregated on the same day)	NUMBER(16,4)	e.g. „33,4600“

NR	FIELD/HEADER	DESCRIPTION	FORMAT	RESTRICTION
13	NOMINALE	Quantity/nominal (accumulated for partial executions on the same day)	NUMBER(16,4)	e.g. „10000,0000“
14	KURSWERT	Trade value in EUR (accumulated amount for partial l executions on the same day)	NUMBER(16,4)	e.g. „334600,0000“
15	TRANSAKTIONSENTGELT	Transaction fee in EUR	NUMBER(9,2)	e.g. „1,46“ or „0,00“
16	HANDELSENTGELT	Broker fee/courtage in EUR	NUMBER(9,2)	e.g. „1,75“ or 0,00“
17	BERECHNUNGSMODELL	Billing model (AGS / FIX / FRC / PDG / SNO)	ALPHANUM(3)	„AGS“, „FIX“, „FRC“, „PDG“ or „SNO“
18	WP_GRUPPE	Security group (00–10)	ALPHANUM(2)	„00“ to „10“
19	COURTAGE_KEY	Courtage key according to WM field (XD697)	ALPHANUM(2)	e.g. „1A“
20	WECHSELKURS	Exchange rate in EUR average for partial executions aggregated on the same day)	NUMBER(16,4)	e.g. „0.13456“

*AGS= fee for settlement business on another market, FIX=FIX fee, FRC=floor range cap fee, PDG= direct place fee, SNO=closing note fee

7. Contact Person

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